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(54) Title: A STRAIN OF *STREPTOMYCES AVERMITILIS* GLYCOSYLATES AVERMECTIN COMPOUNDS**(57) Abstract**

Avermectin compounds are glycosylated at the 4',13- Or 14a-positions by adding the avermectin compounds to the fermentation medium of a novel strain of *Streptomyces avermitilis*. The 4'-hydroxy, 13-hydroxy and 14a-hydroxy methyl groups of the avermectin compound are glycosylated with a glycosyl moiety, specifically an oleandrose group. The new strain offers the added advantage of not producing any C5-O-methylation products. The compounds are potent anthelmintic and antiparasite agents, and compositions for such uses are also disclosed. U.S patent 3950360 to Aoki *et al*, discloses milbemycin compounds and European patent application 242052 to Rudd *et al* discloses nemedectin compounds with a 25-hydroxy group and a 25-unsaturated alkyl group.

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10 TITLE OF THE INVENTION

A STRAIN OF STREPTOMYCES AVERMITILIS GLYCOSYLATES
AVERMECTIN COMPOUNDS

BACKGROUND OF THE INVENTION

15 Avermectin compounds are natural products
produced by the fermentation of Streptomyces
avermitilis as disclosed in U.S. 4,310,519 to
Albers-Schonberg et al. The avermectin compounds
have a natural α -L-oleandrosyl- α -L-oleandrosyloxy
20 group at the 13-position. In U.S. Patent 4,203,976
to Fischer et al certain synthetic procedures are
disclosed for glycosylating various hydroxy groups or
the avermectin molecule, including the 4"-hydroxy of
the avermectin disaccharide group but not the
25 14a-position. U.S. Patent 3,950,360 to Aoki et al
discloses milbemycin compounds and European patent
application 242,052 to Rudd et al discloses
nemadectin compounds with a 23-hydroxy group and a
25-unsaturated alkyl group.

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SUMMARY OF THE INVENTION

This invention is concerned with the preparation of avermectin compounds with a glycosyl group, specifically an oleandrosyl group, substituted at the 13- and 14a-positions of the avermectin compounds without formation of a 5-methoxy group which are prepared by fermenting an avermectin aglycone or a 14a-hydroxy avermectin compound in a culture medium of a novel strain of Streptomyces avermitilis MA 6941, ATCC 55292. The compounds produced by the fermentation are potent antiparasitic and anthelmintic agents.

DESCRIPTION OF THE INVENTION

This invention is concerned with the preparation of avermectin compounds where an oleandrosyl group is placed at the 4', 13- and 14a-positions of an avermectin compound. The process is carried out by culturing the microorganism Streptomyces avermitilis in a culture medium and adding the avermectin monosaccharide, aglycone or the 14a-hydroxy avermectin starting material to the fermentation broth. The culture Streptomyces avermitilis is a new microorganism that has been deposited with the American Type Culture Collection at 12301 Parklawn Dr. Rockville, MD 20852 under the accession number ATCC 55292. The deposit was made under the Budapest Treaty for the deposit of microorganisms for patent purposes on 55292.

The strain of Streptomyces avermitilis MA 6941 is a novel mutant strain that does not produce any avermectin compounds in the absence of any such compounds added to the fermentation broth.

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The strain glycosylates but does not carry out C5-O-methylation. The strain has had deleted therefrom the gene for C5-O-methyl transferase and a portion of the DNA required for the synthesis of the avermectin aglycone structure.

The morphological characteristics of Streptomyces avermitilis MA 6941, ATCC 55292, are as follows:

The following is a general description of Streptomyces avermitilis strain MA6941. The culture is used for the glycosylation of avermectin monosaccharides, aglycones or 14a-hydroxy derivatives without accompanying methylation. Observations of growth, general cultural characteristics and carbon source utilization were made in accordance with the methods of Shirling and Gottlieb (Internat. J. System. Bacteriol. 16: 313-340). Chemical composition of the cells was determined using the methods of Lechevalier and Lechevalier (in Actinomycete Taxonomy, A. Dietz and D. W. Thayer, Ed. Society for Industrial Microbiology, 1980). Coloration of the culture was determined by comparison with color standards contained in the Inter-Society Color Council-National Bureau of Standards Centroid Color Charts (US Dept. of Commerce National Bureau of Standards supplement to NBS Circular 553, 1985).

Analysis of Cell Wall Composition - Peptidoglycan contains LL-diaminopimelic acid.

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General growth characteristics - Good growth on yeast malt extract agar (YME), glycerol asparagine agar, inorganic salt starch agar, oatmeal, trypticase soy agar and peptone iron agar. Poor growth on Czapek's
5 agar and tap water agar supplemented with NZ-amine (Sheffield Chemical Co.) Culture also grows in tryptone yeast extract broth. Culture grows at 27°C and 37°C.

10 **Colony morphology** - (on YME at 21 d) Substrate mycelium is light brown. Aerial mycelium white. Spore mass is abundant and light greenish gray in color. Melanoid pigment is produced. Colonies are
15 opaque, raised and have entire edges, rubbery in consistency with a matte surface texture.

Micromorphology - Aerial mycelia (0.57 - 0.76 μ m) arise from substrate mycelia and are branched, short and flexous. In mature cultures (7 - 28d p.i.) the
20 aerial mycelium terminates in spiral chains of spores that occasionally terminate in knob like structures. This characteristic is especially noticeable in areas of dense aerial development. Sporulation occurs on
25 YME, inorganic salts-starch agar, oatmeal, glycerol asparagine agar, tap water agar with NZ-amine and Czapek's agar.

Miscellaneous physiological reactions - Culture produces H₂S in peptone-iron agar. Melanoid pigments
30 are formed in TY broth, and on YME, trypticase-soy and peptone iron agar slants. Starch is weakly hydrolyzed at 21d but not at 14d p.i.. Carbon source utilization pattern is as follows: good utilization

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of D-fructose, α -D-glucose, α -D-lactose,
 β -D-lactose, D-mannitol, D-mannose, L-rhamnose,
D-xylose; moderate utilization of L-arabinose,
inositol, D-maltose, D-raffinose; poor utilization of
5 D-arabinose, sucrose.

Tables 1 and 2 summarize the cultural characteristics
and carbohydrate utilization of *Streptomyces*
10 *avermitilis* MA6941.

Diagnosis - These results compare favorably with the
published description of the parent strain
15 *Streptomyces avermitilis*.

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TABLE 1
Cultural characteristics of *Streptomyces avermitilis*. MA6941 at 21 days

| Medium | Amount of Growth | Aerial Mycelium and/or Spores | Soluble Pigments | Reverse Color |
|-------------------------------|---------------------|---|--|--|
| Yeast Extract Malt Extract | good | Aerial mycelium light greenish gray (154 l.gGray). Spores borne in extended spiral chains. | brown | Grayish brown (61 gy.Br) |
| Glucose Asparagine | good | Aerial mycelium greenish white (153 gWhite). Spores borne in extended spiral chains. | none noted | Grayish yellow (90 gy.Y) |
| Inorganic Salts Starch | good | Aerial mycelium light greenish gray (154 l.gGray). Spores borne in extended spiral chains. Starch weakly hydrolyzed. | dark gray | Grayish olive green (127 gy.O1G) |
| Oatmeal | good | Aerial mycelium greenish white (153 gWhite). Spores borne in extended spiral chains. | none noted | Grayish yellow (90 gy.Y) |
| Tap Water | sparse | No aerial mass observed. | none noted | Transparent |
| Czapek | sparse | Transparent. Spores borne in extended spiral chains. | none noted | Transparent |
| Peptone Iron | good | | Melanin positive, H ₂ S positive. | |

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TABLE 2

Carbohydrate utilization pattern of
Streptomyces avermitilis. MA6941 at 21 days

| | | |
|----|-------------------------------|--------------------|
| 5 | <u>Carbon source</u> | <u>Utilization</u> |
| | D-arabinose | 1 |
| | L-arabinose | 2 |
| | D-fructose | 3 |
| | inositol | 2 |
| | α -D-lactose | 3 |
| 10 | β -D-lactose | 3 |
| | D-maltose | 2 |
| | D-mannitol | 3 |
| | D-mannose | 3 |
| | D-raffinose | 2 |
| | L-rhamnose | 3 |
| | sucrose | 1 |
| 15 | D-xylose | 3 |
| | α -D-glucose (control) | 3 |

3 = good utilization
 2 = moderate utilization
 1 = poor utilization
 0 = no utilization

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The process utilizing the novel
microorganism of the instant invention is best
realized in the following reaction scheme:

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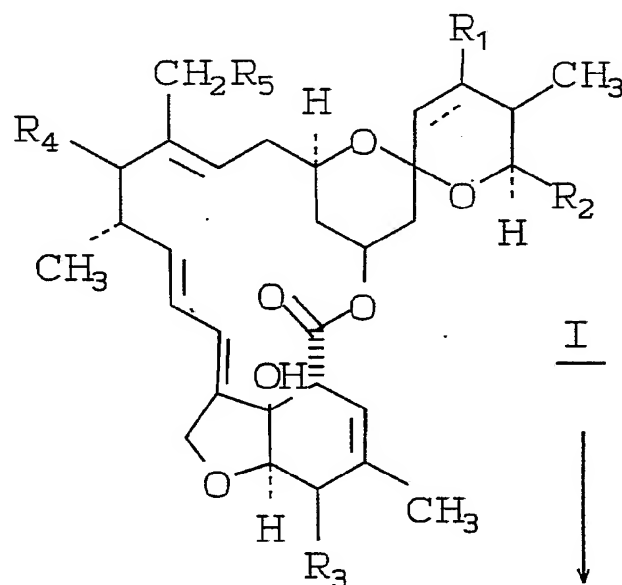
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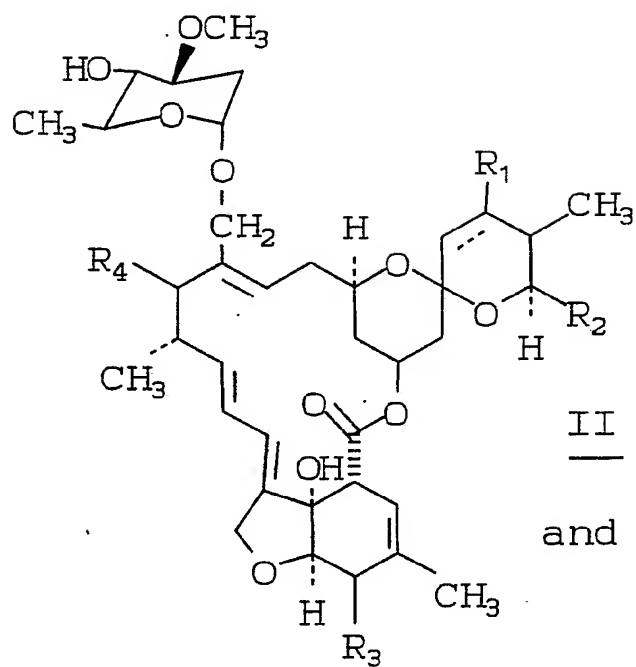


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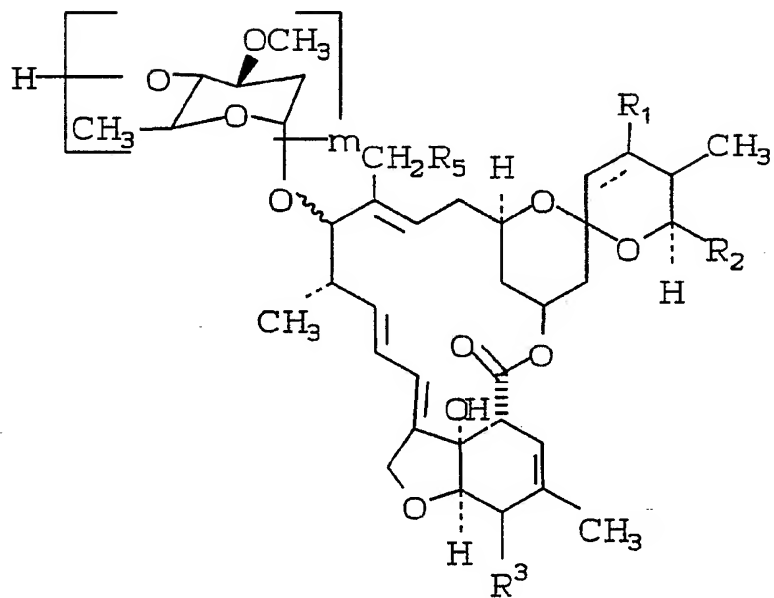
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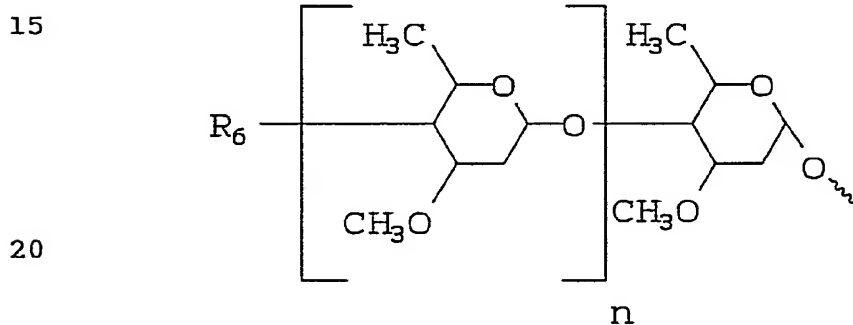
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In the above reaction scheme the broken line at the 22,23-position indicates a single or a double bond at the 22,23-position;

5 R_1 is present only when the broken line represents a single bond at the 22,23-position and is hydrogen, hydroxy, oxo, or hydroximino;

R_2 is alkyl of 1 to 8 carbon atoms, alkenyl of 2 to 8 carbon atoms or cycloalkyl of 3 to 8 carbon atoms;

10 R_3 is hydroxy, oxo, methoxy or acetoxy; and R_4 is hydrogen, hydroxy, or



where n is 0 or 1;

25 m is 1 or 2;

R_6 is hydroxy, amino, alkyl of 1 to 8 carbon atoms dialkyl of 1 to 8 carbon atoms or (alkyl of 1 to 8 carbon atoms) (alkanoyl of 1 to 8 carbon atoms)amino; and

30 R_5 is hydrogen or hydroxy.

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The above compounds of Formulae II and III are active anthelmintic agents.

In the above reaction scheme the following compounds are novel compounds and active anthelmintic agents.

In the above reaction scheme the novel compounds of the instant invention are defined when the broken line at the 22,23-position indicates a single or a double bond at the 22,23-position;

R₁ is present only when the broken line represents a single bond at the 22,23-position and is hydrogen or hydroxy;

R₂ is alkyl of 1 to 8 carbon atoms, alkenyl of 2 to 8 carbon atoms or cycloalkyl of 3 to 8 carbon atoms;

R₃ is hydroxy or methoxy; and

R₄ is hydrogen or β-hydroxy.

The instant process is carried out by adding a compound of Formula I to the fermentation broth of Streptomyces avermitilis MA 6941 and carrying out the fermentation as described below. The compounds of Formula II are formed when R₅ is hydroxy and the compounds of Formula III are formed when R₅ is hydrogen and R₄ is hydroxy. Also possible are compounds with oleandrose substitution at both the 13- and 14a positions if both R₄ and R₅ are hydroxy.

The compounds of Formula I are prepared by fermenting a culture of Streptomyces lavendulae MA6555m ATCC 14159, in the presence of a avermectin compound with the normal 14-methyl group. The

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general conditions for the fermentation are similar to those employed for the instant fermentation. Specific examples describing the preparation of such compounds are included in this specification. In
5 addition, certain 14a-hydroxy compounds are prepared synthetically as described in EP144285. The compound of Formula I can be added to the fermentation broth at any time during the fermentation period however it has been found advantageous to add the starting
10 material after allowing the fermentation to proceed for a portion of its term but, to allow the microorganism sufficient time to operate on the starting material, before the fermentation term is complete. Generally, the starting material is added
15 after the fermentation term is at least 10% complete but before it is 75% complete. Preferably the starting material is added when the fermentation has completed from 20% to 50% of its scheduled term.

The starting material is added to the
20 fermentation broth in quantities of from 0.1 to 10 mg per ml of fermentation broth. Preferably the starting material is added in quantities of from 1 to 8 mg per ml. of fermentation broth.

The preferred compounds of the instant
25 invention are realized when in the above structural Formula II:

the broken line at the 22,23-position indicates a 22,23-double bond and R_1 is not present;
 R_2 is isopropyl or sec-butyl;
30 R_3 is hydroxy; and
 R_4 is hydrogen or hydroxy, most preferably hydrogen or β -hydroxy.

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The above described strain of Streptomyces avermitilis MA 6941, ATCC 55292 is illustrative of a strain which can be employed in the production of the instant compounds. However, the present invention
5 also embraces mutants of the above described microorganism. For example, those mutants which are obtained by natural selection or those produced by mutating agents including ionizing radiation such as ultraviolet irradiation, or chemical mutagens such as
10 nitrosoguanidine or the like treatments are also included within the ambit of this invention.

The instant compounds are produced during the aerobic fermentation of suitable aqueous nutrient media under conditions described hereinafter, with a
15 non-producing strain of Streptomyces avermitilis MA 6941, ATCC 55292. Aqueous media such as those used for the production of many antibiotic substances are suitable for use in this process for the production of this macrocyclic compound. Such nutrient media
20 contain sources of carbon and nitrogen assimilable by the microorganism and generally low levels of inorganic salts. In addition, the fermentation media may contain small amounts of inorganic salts and traces of metals necessary for the growth of the
25 microorganisms, and production of the desired compounds. These are usually present in sufficient concentrations in the complex sources of carbon and nitrogen, which may be used as nutrient sources, but can, of course, be added separately to the medium if
30 desired.

In general, carbohydrates such as sugars, for example dextrose, sucrose, maltose, lactose,

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dextran, cerelose, corn meal, oat flour, and the like, and starches are suitable sources of assimilable carbon in the nutrient media. The exact quantity of the carbon source which is utilized in the medium will depend, in part, upon the other ingredients in the medium, but it is usually found that an amount of carbohydrate between 0.5 and 5% by weight of the medium is satisfactory. These carbon sources can be used individually or several such carbon sources may be combined in the same medium.

Various nitrogen sources such as yeast hydrolysates, yeast autolysates, yeast cells, tomato paste, corn meal, oat flour, soybean meal, casein hydrolysates, yeast extracts, corn steep liquors, distillers solubles, cottonseed meal, meat extract and the like, are readily assimilable by Streptomyces avermitilis MA 6941, ATCC 55292 in the production of the instant compounds. The various sources of nitrogen can be used alone or in combination in amounts ranging from 0.2 to 6% by weight of the medium.

Among the nutrient inorganic salts, which can be incorporated in the culture media are the customary salts capable of yielding sodium, potassium, magnesium, ammonium, calcium, phosphate, sulfate, chloride, carbonate, and like ions. Also included are trace metals such as cobalt, manganese, and the like.

It should be noted that the media described hereinbelow and in the Examples are merely illustrative of the wide variety of media, which may be employed, and are not intended to be limitative.

- 15 -

The following are Examples of media suitable for growing strains of Streptomyces avermitilis MA 6941, ATCC 55292.

5

MEDIUM 1

| | | |
|----|----------------------------|---------|
| | Glucose | 5 g |
| | Commerical Brown Sugar | 10 g |
| | Tryptone | 5 g |
| | Yeast Extract | 2.5 g |
| 10 | EDTA | |
| | (ethylene diamine | |
| | tetracetic acid) | 36 mg |
| | betaine | 1.29 g |
| | sodium propionate | 0.11 g |
| 15 | distilled H ₂ O | 1100 ml |

pH 7.0 -pH 7.2MEDIUM 2

| | | |
|----|----------------------------|---------|
| 20 | Sucrose | 15 g |
| | Peptone | 5.0 g |
| | Yeast extract | 2.5 g |
| | L-arginine | 0.5 g |
| | Distilled H ₂ O | 1000 ml |

25

pH 7.0

30

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MEDIUM 3

| | | |
|---|---|---------|
| | Glucose | 50 g |
| | NaCl | 5.0 g |
| | (NH ₄) ₂ SO ₄ | 2.0 g |
| 5 | CaCO ₃ | 6.0 g |
| | propanol | 5 g |
| | soya flour | 30 g |
| | distilled H ₂ O | 1000 ml |

10

MEDIUM 4

| | | |
|----|------------------------------------|---------|
| | Soluble starch | 15 g |
| | Soytone | 20 g |
| | CaCl ₂ | 0.1 g |
| 15 | yeast extract | 1.5 g |
| | soya oil | 50 ml |
| | MOPS | 10 5 ml |
| | (Morpholino propane sulfonic acid) | |

20

MEDIUM 5

| | | |
|----|---------------------------------|---------|
| | K ₂ HPO ₄ | 450 mg |
| | saccharose | 2.0 g |
| | casein | 1.5 g |
| 25 | NaCl | 50 mg |
| | L-arginine | 15 mg |
| | trace element mix A | 1.0 ml |
| | distilled water | 1000 ml |

30

pH 6.9

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TRACE ELEMENT MIX

| | | |
|----|--------------------------------------|---------|
| | Citric Acid | 46.2 mg |
| | FeSO ₄ •7H ₂ O | 2.0 mg |
| | ZnSO ₄ •7H ₂ O | 1.0 mg |
| 5 | MnCl ₂ •4H ₂ O | 0.8 mg |
| | CoCl ₂ •6H ₂ O | 0.1 mg |
| | MgSO ₄ •7H ₂ O | 50 ml |
| | Ascorbic acid | 0.12 mg |
| 10 | H ₂ O | 160 ml |

MEDIUM 6

| | | |
|----|----------------------------|---------|
| | Cottonseed oil | 5.0 g |
| | yeast extract | 0.5 g |
| | dextrose | 4.5 g |
| 15 | soybean oil | 0.5 ul |
| | CaCO ₃ | 0.6 g |
| | Trace element mix | 1.0 ml |
| | distilled H ₂ O | 1000 ml |

20 The fermentations employing Streptomyces
avermitilis MA 6941, ATCC 55292 can be conducted at
temperatures ranging from about 20°C to about 40°C.
For optimum results, it is most convenient to conduct
these fermentations at a temperature in the range of
25 from about 24°C to about 30°C. Temperatures of about
27°-28°C are most preferred. The pH of the nutrient
medium suitable for producing the instant compounds
can vary from about 5.0 to 8.5 with a preferred
range of from about 6.0 to 7.5.

30 Small scale fermentations are conveniently
carried out by placing suitable quantities of
nutrient medium in a flask employing known sterile

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techniques, inoculating the flask with either spores or vegetative cellular growth of Streptomyces avermitilis MA 6941, ATCC 55292, loosely stoppering the flask with cotton and permitting the fermentation to proceed in a constant room temperature of about 30°C on a rotary shaker at from 95 to 300 rpm for about 2 to 10 days. For larger scale work, it is preferable to conduct the fermentation in suitable tanks provided with an agitator and a means of aerating the fermentation medium. The nutrient medium is made up in the tank and after sterilization is inoculated with a source of vegetative cellular growth of Streptomyces avermitilis MA 6941, ATCC 55292. The fermentation is allowed to continue for from 1 to 8 days while agitating and/or aerating the nutrient medium at a temperature in the range of from about 24° to 37°C. The degree of aeration is dependent upon several factors such as the size of the fermentor, agitation speed, and the like. Generally the larger scale fermentations are agitated at about 95 to 500 RPM and about 50 to 500 liters per minute of air.

The novel compounds of this invention are found both in the aqueous portion and the mycelia of the fermentation medium on termination of the Streptomyces avermitilis MA 6941, ATCC 55292 fermentation and may be removed and separated there from as described below.

The separation of the novel compounds from the whole fermentation broth and the recovery of said compounds is carried out by solvent extraction and

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application of chromatographic fractionations with various chromatographic techniques and solvent systems.

5 The instant compounds have slight solubility
in water, but are soluble in organic solvents. This
property may be conveniently employed to recover the
compound from the fermentation broth. Thus, in one
recovery method, the whole fermentation broth is
combined with approximately an equal volume of an
10 organic solvent. While any organic solvent may be
employed, it is preferable to use a water immiscible
solvent such as ethyl acetate, methylene chloride,
chloroform, methyl ethyl ketone and the like.
Generally several extractions are desirable to
15 achieve maximum recovery. The solvent removes the
instant compounds as well as other substances lacking
the antiparasitic activity of the instant compounds.
If the solvent is a water immiscible one, the layers
are separated and the organic solvent is evaporated
20 under reduced pressure. If the solvent is water
miscible, it can be extracted with a water immiscible
solvent to separate the entrained water. This
solvent can then be concentrated under reduced
pressure. The residue is placed onto a
25 chromatography column containing preferably, silica
gel. The column retains the desired products and
some impurities, but lets many of the impurities,
particularly the nonpolar impurities, pass through.
The column is washed with a moderately polar organic
30 solvent such as methylene chloride, chloroform or
hexane to further remove impurities, and is then
washed with a mixture of methylene chloride,

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chloroform or hexane and an organic solvent of which acetone, ethyl acetate, methanol, and ethanol and the like are preferred. The solvent is evaporated and the residue further chromatographed using column
5 chromatography, thin layer chromatography, preparative layer chromatography, high pressure liquid chromatography and the like, with silica gel, aluminum oxide, dextran gels and the like, as the chromatographic medium, with various solvents and
10 combinations of solvents as the eluent. Thin layer, high pressure, liquid and preparative layer chromatography may be employed to detect the presence of, and to isolate the instant compounds. The use of the foregoing techniques as well as other known to
15 those skilled in the art, will afford purified compositions containing the instant compounds. The presence of the desired compounds is determined by analyzing the various chromatographic fractions for biological activity against selected parasites, or
20 physicochemical characteristics. The structures of the instant compounds has been determined by detailed analysis of the various spectral characteristics of the compounds, in particular their nuclear magnetic resonance, mass, ultraviolet and infrared spectra.
25 The instant compounds are potent endo-and ecto-antiparasitic agents against parasites particularly helminths, ectoparasites, insects, and acarides, infecting man, animals and plants, thus having utility in human and animal health, agriculture
30 and pest control in household and commercial areas.
 The disease or group of diseases described generally as helminthiasis is due to infection of an

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animal host with parasitic worms known as helminths. Helminthiasis is a prevalent and serious economic problem in domesticated animals such as swine, sheep, horses, cattle, goats, dogs, cats, fish, buffalo, camels, llamas, reindeer, laboratory animals, fur-bearing animals, zoo animals and exotic species and poultry. Among the helminths, the group of worms described as nematodes causes widespread and often times serious infection in various species of animals. The most common genera of nematodes infecting the animals referred to above are Haemonchus, Trichostrongylus, Ostertagia, Nematodirus, Cooperia, Ascaris, Bunostomum, Oesophagostomum, Chabertia, Trichuris, Strongylus, Trichonema, Dictyocaulus, Capillaria, Habronema, Druschia, Heterakis, Toxocara, Ascaridia, Oxyuris, Ancylostoma, Uncinaria, Toxascaris and Parascaris. Certain of these, such as Nematodirus, Cooperia, and Oesophagostomum attack primarily the intestinal tract while others, such as Haemonchus and Ostertagia, are more prevalent in the stomach while still others such as Dictyocaulus are found in the lungs. Still other parasites may be located in other tissues and organs of the body such as the heart and blood vessels, subcutaneous and lymphatic tissue and the like. The parasitic infections known as helminthiases lead to anemia, malnutrition, weakness, weight loss, severe damage to the walls of the intestinal tract and other tissues and organs and, if left untreated, may result in death of the infected host. The compounds of this invention have unexpectedly high activity against these parasites, and in addition are also active

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against Dirofilaria in dogs and cats, Nematospiroides,
Syphacia, Aspiculuris in rodents, arthropod ectopara-
sites of animals and birds such as ticks, mites,
lice, fleas, blowflies, in sheep Lucilia sp., biting
5 insects and such migrating dipterous larvae as
Hypoderma sp. cattle, Gastrophilus in horses, and
Cuterebra sp. in rodents and nuisance flies including
blood feeding flies and filth flies.

The instant compounds are also useful
10 against parasites which infect humans. The most
common genera of parasites of the gastro-intestinal
tract of man are Ancylostoma, Necator, Ascaris,
Strongyloides, Trichinella, Capillaria, Trichuris,
and Enterobius. Other medically important genera of
15 parasites which are found in the blood or other
tissues and organs outside the gastrointestinal tract
are the filarial worms such as Wuchereria, Brugia,
Onchocerca and Loa, Dracunculus and extra intestinal
stages of the intestinal worms Strongyloides and
20 Trichinella. The compounds are also of value against
arthropods parasitizing man, biting insects and other
dipterous pests causing annoyance to man.

The compounds are also active against
household pests such as the cockroach, Blattella sp.,
25 clothes moth, Tineola sp., carpet beetle, Attagenus
sp., the housefly Musca domestica as well as fleas,
house dust mites, termites and ants.

The compounds are also useful against insect
pests of stored grains such as Tribolium sp.,
30 Tenebrio sp. and of agricultural plants such as
aphids, (Acyrtosiphon sp.); against migratory
orthopterans such as locusts and immature stages of

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insects living on plant tissue. The compounds are useful as a nematocide for the control of soil nematodes and plant parasites such as Meloidogyne sp. which may be of importance in agriculture. The
5 compounds are also highly useful in treating acreage infested with fire ant nests. The compounds are scattered above the infested area in low levels in bait formulations which are brought back to the nest. In addition to a direct-but-slow onset toxic effect
10 on the fire ants, the compound has a long-term effect on the nest by sterilizing the queen which effectively destroys the nest.

The compounds of this invention may be administered in formulations wherein the active
15 compound is intimately admixed with one or more inert ingredients and optionally including one or more additional active ingredients. The compounds may be used in any composition known to those skilled in the art for administration to humans and animals, for
20 application to plants and for premise and area application to control household pests in either a residential or commercial setting. For application to humans and animals to control internal and external parasites, oral formulations, in solid or
25 liquid or parenteral liquid, implant or depot injection forms may be used. For topical application dip, spray, powder, dust, pour-on, spot-on, jetting fluid, shampoos, collar, tag or harness, may be used. For agricultural premise or area applications, liquid
30 spray, powders, dust, or bait forms may be used. In addition "feed-through" forms may be used to control nuisance flies that feed or breed in animal waste.

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The compounds are formulated, such as by encapsulation, to leave a residue of active agent in the animal waste which controls filth flies or other arthropod pests.

5 These compounds may be administered orally in a unit dosage form such as a capsule, bolus or tablet, or as a liquid drench where used as an anthelmintic in mammals. The drench is normally a solution, suspension or dispersion of the active
10 ingredient usually in water together with a suspending agent such as bentonite and a wetting agent or like excipient. Generally, the drenches also contain an antifoaming agent. Drench formulations generally contain from about 0.001 to 0.5% by weight of the
15 active compound. Preferred drench formulations may contain from 0.01 to 0.1% by weight. The capsules and boluses comprise the active ingredient admixed with a carrier vehicle such as starch, talc, magnesium stearate, or di-calcium phosphate.

20 Where it is desired to administer the instant compounds in a dry, solid unit dosage form, capsules, boluses or tablets containing the desired amount of active compound usually are employed. These dosage forms are prepared by intimately and
25 uniformly mixing the active ingredient with suitable finely divided diluents, fillers, disintegrating agents, and/or binders such as starch, lactose, talc, magnesium stearate, vegetable gums and the like. Such unit dosage formulations may be varied widely
30 with respect to their total weight and content of the antiparasitic agent depending upon factors such as the type of host animal to be treated, the severity and type of infection and the weight of the host.

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When the active compound is to be administered via an animal feedstuff, it is intimately dispersed in the feed or used as a top dressing or in the form of pellets or liquid which may then be added to the finished feed or optionally fed separately. Alternatively, feed based individual dosage forms may be used such as a chewable treat. Alternatively, the antiparasitic compounds of this invention may be administered to animals parenterally, for example, by intraruminal, intramuscular, intravascular, intratracheal, or subcutaneous injection in which the active ingredient is dissolved or dispersed in a liquid carrier vehicle. For parenteral administration, the active material is suitably admixed with an acceptable vehicle, preferably of the vegetable oil variety such as peanut oil, cotton seed oil and the like. Other parenteral vehicles such as organic preparation using solketal, glycerol formal, propylene glycol, and aqueous parenteral formulations are also used. The active compound or compounds are dissolved or suspended in the parenteral formulation for administration; such formulations generally contain from 0.0005 to 5% by weight of the active compound.

Although the antiparasitic agents of this invention find their primary use in the treatment and/or prevention of helminthiasis, they are also useful in the prevention and treatment of diseases caused by other parasites, for example, arthropod parasites such as ticks, lice, fleas, mites and other biting arthropods in domesticated animals and poultry. They are also effective in treatment of parasitic diseases that occur in other animals

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including humans. The optimum amount to be employed for best results will, of course, depend upon the particular compound employed, the species of animal to be treated and the type and severity of parasitic infection or infestation. Generally good results are obtained with our novel compounds by the oral administration of from about 0.001 to 10 mg per kg of animal body weight, such total dose being given at one time or in divided doses over a relatively short period of time such as 1-5 days. With the preferred compounds of the invention, excellent control of such parasites is obtained in animals by administering from about 0.025 to 0.5 mg per kg of body weight in a single dose. Repeat treatments are given as required to combat re-infections and are dependent upon the species of parasite and the husbandry techniques being employed. The techniques for administering these materials to animals are known to those skilled in the veterinary field.

When the compounds described herein are administered as a component of the feed of the animals, or dissolved or suspended in the drinking water, compositions are provided in which the active compound or compounds are intimately dispersed in an inert carrier or diluent. By inert carrier is meant one that will not react with the antiparasitic agent and one that may be administered safely to animals. Preferably, a carrier for feed administration is one that is, or may be, an ingredient of the animal ration.

Suitable compositions include feed premixes or supplements in which the active ingredient is

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present in relatively large amounts and which are suitable for direct feeding to the animal or for addition to the feed either directly or after an intermediate dilution or blending step. Typical
5 carriers or diluents suitable for such compositions include, for example, distillers' dried grains, corn meal, citrus meal, fermentation residues, ground oyster shells, wheat shorts, molasses solubles, corn cob meal, edible bean mill feed, soya grits, crushed
10 limestone and the like. The active compounds are intimately dispersed throughout the carrier by methods such as grinding, stirring, milling or tumbling. Compositions containing from about 0.005 to 2.0% weight of the active compound are particularly
15 suitable as feed premixes. Feed supplements, which are fed directly to the animal, contain from about 0.0002 to 0.3% by weight of the active compounds.

Such supplements are added to the animal feed in an amount to give the finished feed the con-
20 centration of active compound desired for the treatment and control of parasitic diseases. Although the desired concentration of active compound will vary depending upon the factors previously mentioned as well as upon the particular compound
25 employed, the compounds of this invention are usually fed at concentrations of between 0.00001 to 0.002% in the feed in order to achieve the desired anti-parasitic result.

In using the compounds of this invention,
30 the individual compounds may be prepared and used in that form. Alternatively, mixtures of the individual compounds may be used, or other active compounds not related to the compounds of this invention.

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The compounds of this invention are also useful in combatting agricultural pests that inflict damage upon crops while they are growing or while in storage. The compounds are applied using known techniques as sprays, dusts, emulsions and the like, to the growing or stored crops to effect protection from such agricultural pests.

The following examples are provided in order that this invention might be more fully understood; they are not to be construed as limitative of the invention.

PROCEDURES AND EXAMPLES OF PREPARATION OF 14a HYDROXY AVERMECTIN/MIBEMYCINS

CULTURE CONDITIONS

1. Preparation of Frozen Cultures

An L-tube (lyophilized culture) of Streptomyces lavendulae (MA6555 ATCC 14159) is aseptically transferred to 250 ml of medium A in 2000 ml baffled erlenmeyer flask and the flask is incubated on a rotary shaker (220 rpm) at 27°C at 85% humidity for 48 hours. Two ml aliquots of the culture were frozen and stored at -80°C and served as a source of frozen cultures.

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2. Seed Cultures

5 A vial of frozen culture (2ml) was used to inoculate a 250 ml baffled erlenmeyer flask containing 50 ml medium A. The flasks were incubated on a rotary shaker (220 ml) at 27°C at 85% humidity for 24 hours.

3. Transformation Cultures

10 Five ml of developed seed culture was used to inoculate 50 ml of medium B in a 250 ml erlenmeyer flask; 13-deoxy avermectin B₁a(1-5 mg) or 13-deoxy avermectin B₁b(0.2-1.0 mg) in DMSO
15 was added at 0 hours. The transformation flasks were incubated for 7 days at 27°C (220 rpm) at 85% humidity.

| <u>Medium A</u> | <u>Medium B</u> | |
|--------------------------------------|-----------------|--------------------------------|
| Dextrose | 1 g | Soluble Starch |
| Dextrin | 10 g | Hycase SF |
| Beef Extract | 3 g | Beef Extract |
| Ardamine pH | 5 g | Corn Steep Liquor |
| NZ Amine Type E | 5 g | Morpholinepropanesulfonic acid |
| MgSO ₄ •7H ₂ O | .05g | Adjust to pH 7.0 |
| K ₂ HPO ₄ | 0.3g | |
| Ca CO ₃ | 0.5g | |
| Distilled H ₂ O | 1000ml | |

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ISOLATION OF 14a-HYDROXY AVERMECTINS

5 Flasks were extracted with three 50.ml portions of
CH₂Cl₂. The CH₂Cl₂ extracts were combined and
concentrated. The hydroxylated products were
isolated by HPLC on a Dupont Zorbax ODS columns using
CH₂OH:H₂O (85:15, 80:20, or 70:30) as the mobile
phase. The structures of the purified avermectins
were determined by NMR and mass spectroscopy.

10

SPECIFIC EXAMPLES

The following compounds have been prepared by the
above procedure:

15

Starting materialProductPreparation 1

20 13 deoxy avermectin B_{1a} 13-deoxy-14a-hydroxy
aglycone avermectin B_{1a} aglycone

Preparation 2

25 13 deoxy avermectin 13 β -hydroxy-14a-hydroxy
B_{1a} aglycone avermectin B_{1a} aglycone

Preparation 3

30 13 deoxy avermectin 13-deoxy-14a-hydroxy
B_{1b} aglycone avermectin B_{1b} aglycone

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Preparation 4

13 deoxy avermectin 13 β -hydroxy-14a-hydroxy
B₁b aglycone avermectin B₁a aglycone

5 PROCEDURES AND EXAMPLES OF PREPARATION OF 14a-O-
OLEANDROSYL AVERMECTINS/MILBEMYCINS

Culture Conditions

10 1. Innoculum Preparation

Frozen vegetative mycelia (FVM) of Streptomyces
avermitilis MA 6941 ATCC 55292 were prepared by
innoculating 250 ml seed medium in a 2 liter 3
15 baffle flask with a lyophilized culture and
incubating at 27°C, 85% relative humidity and 200
rpm for 16 hours. The packed cell volume of the
culture was 10-15% and the pH 5.7-6.8. Aliquots
of the culture were frozen and used as a source
20 of innoculum for future experiments.

2. Seed Cultures

To 25 ml of seed culture in a 250 ml 3 baffle
25 flask, 1.0 ml of FVM was added and the flasks
were at 27°C, 85% relative humidity and 200 rpm
for 16 hours.

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3. Biotransformation and Isolation

To 22.5 ml of biotransformation medium, 1.0 ml of seed culture was added and flasks were incubated at 27°C, 85% relative humidity at 200 rpm for 48 hours. 1.0 mg of 14a-hydroxy avermectin B1a in 0.05 ml dimethylsulfoxide was added and the flasks were incubated for 8 days at 27°C, 85% relative humidity and 220 rpm. Each flask was extracted with 50 ml portions of methylene chloride. The methylene chloride extracts were combined and concentrated. The avermectin monosaccharides were isolated by HPLC on a Dupont Zorbox ODS column using methanol:water (85:15, 80:20, 70:30) as the mobile phase. The structures of the purified avermectins were determined by mass and NMR spectroscopy.

Seed Medium

| | | |
|----|--------------------------------------|--------|
| 20 | Difco yeast extract | 20 g/l |
| | Hycase S.F. | 20 g/l |
| | Dextrose | 20 g/l |
| | KNO ₃ | 2.0 |
| 25 | NaCl | 0.5 |
| | MnSO ₄ •H ₂ O | .005 |
| | ZnSO ₄ •7H ₂ O | 0.01 |
| | CaCl ₂ •2H ₂ O | 0.02 |
| | FeSO ₄ •7H ₂ O | 0.025 |
| 30 | pH = 7.0 | |

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Biotransformation Medium

| | | |
|----|---|-------------|
| | Peptonized Milk | 17.5 g/l |
| | Ardamine pH | 2.7 g/l |
| 5 | Dextrose | 75 g/l |
| | $\text{CuSO}_4 \cdot 5\text{H}_2\text{O}$ | 0.00006 g/l |
| | $\text{ZnSO}_4 \cdot 7\text{H}_2\text{O}$ | 0.001 g/l |
| | $\text{CoCl}_2 \cdot 6\text{H}_2\text{O}$ | 0.0001 g/l |
| | $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$ | 0.003 g/l |
| 10 | $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ | 0.5 g/l |
| | pH = 7.2 | |

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30 25 20 15 10 5

The following compounds have been prepared

13-deoxy-14a-hydroxy avermectin B1a aglycone —————> 13-deoxy-14a-0-oleandroxyloxy avermectin B1a aglycone
 13-deoxy-14a-hydroxy avermectin B1b aglycone —————> 13-deoxy-14a-0-oleandroxyloxy avermectin B1b aglycone
 13-deoxy-14a-hydroxy-22,23-dihydro avermectin B1a aglycone ———> 13-deoxy-14a-0-oleandroxyloxy-22,23-dihydro avermectin B1a aglycone
 13-deoxy-14a-hydroxy-22,23-dihydro avermectin B1b aglycone ———> 13-deoxy-14a-0-oleandroxyloxy 22,23 dihydro avermectin B1b aglycone
 13B-14a-hydroxy avermectin B1a aglycone —————> 13B-14a-0-oleandroxyloxy avermectin B1a aglycone
 13B-14a-hydroxy avermectin B1a aglycone —————> 13B-14a-hydroxy avermectin B1a monosaccharide.
 13B-14a-hydroxy avermectin B1b aglycone —————> 13B-14a-0-oleandroxyloxy avermectin B1b aglycone
 13B-14a-hydroxy avermectin B1a aglycone —————> 13B-14a-hydroxy avermectin B1a monosaccharide.
 13B-14a-hydroxy-22,23-dihydro-avermectin B1a aglycone ———> 13B-14a-0-oleandroxyloxy-22,23-dihydro avermectin B1a aglycone
 13B-14a-hydroxy-22,23-dihydro avermectin B1a aglycone —————> 13B-14a-hydroxy-22,23-dihydro avermectin B1a monosaccharide.
 13B-14a-hydroxy-22,23-dihydro avermectin B1b aglycone ———> 13B-14a-0-oleandroxyloxy-22,23-dihydro avermectin B1b aglycone
 avermectin B1a/B1b aglycone —————> avermectin B1a/B1b (disaccharide)
 avermectin B2a aglycone —————> avermectin B2a (disaccharide)
 22,23-dihydro-avermectin B1a/B1b aglycone —————> 22,23-dihydro avermectin B1a/B1b (disaccharide)

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3013 β -22,23 dihydro avermectin B1a/B1b aglycone -----> 13 β -22,23-dihydro avermectin B1a/B1b monosaccharide13 β -14a-hydroxy, avermectin B1a aglycone -----> 13 β -14a-hydroxy, avermectin B1a monosaccharide13 β -avermectin B1a/B1b aglycone -----> 13 β -avermectin B1a/B1b monosaccharide13 β -hydroxy milbemycin 3 -----> 13 β -0-oleandrosyloxy milbemycin 313 β -hydroxy milbemycin 4 -----> 13 β -0-oleandrosyloxy milbemycin 413 β -hydroxy nemadectin -----> 13 β -0-oleandrosyloxy nemadectin

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WHAT IS CLAIMED IS:

1. A biologically pure culture of
Streptomyces avermitilis which is an avermectin
5 non-producer which does not methylate at the position
C5-hydroxy and which glycosylates at the 4'-, 13- or
14a- positions or both the 14a and one of the 4' and
13- positions, of avermectin aglycones, milbemycins
or nemadectins or 14a hydroxy derivatives thereof.

10

2. The culture of Claim 1 which is lacking
the DNA which includes the gene for C5-0-methylation
and for synthesis of the avermectin aglycone
structure.

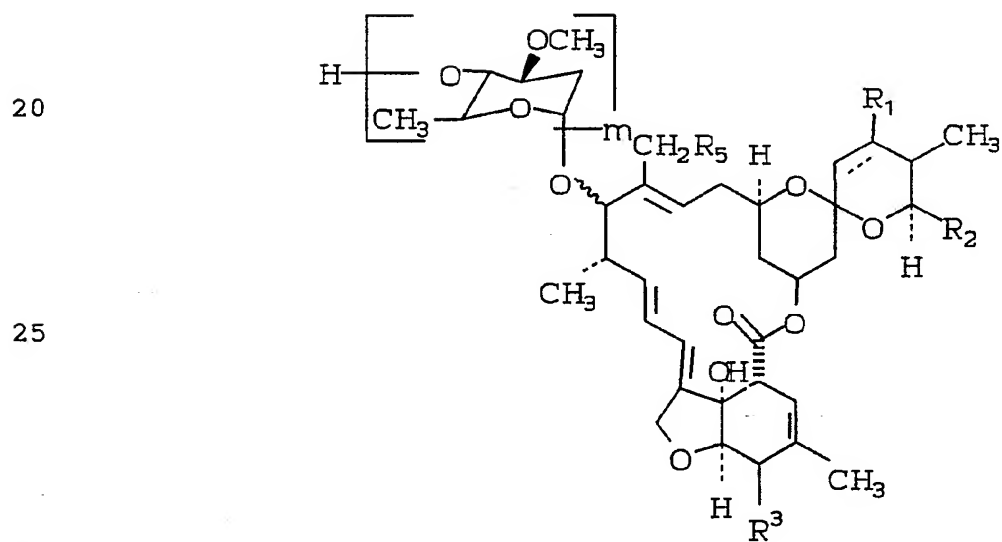
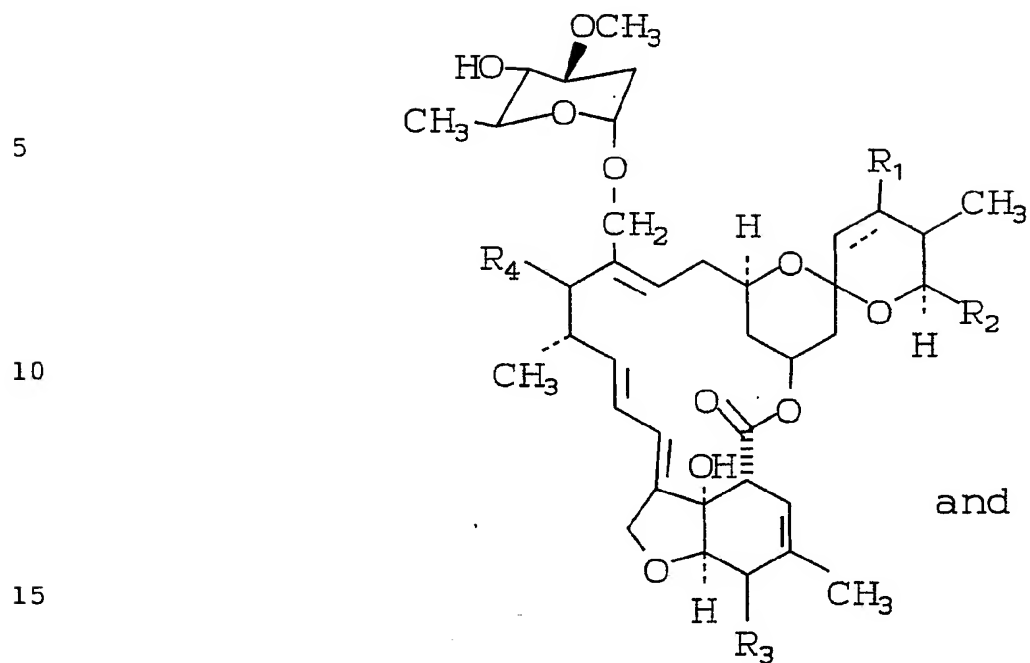
15

3. A biologically pure culture of the
microorganism Streptomyces avermitilis MA 6941 or a
mutant thereof, which is capable of preparing
compounds having the formula.

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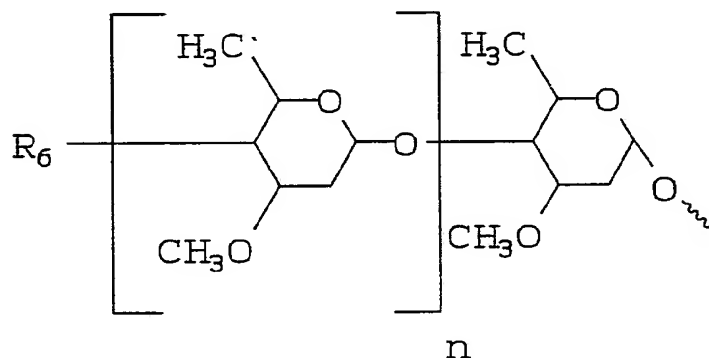
- 38 -

wherein:

R_1 is present only when the broken line represents a single bond at the 22,23-position and is hydrogen, hydroxy, or hydroximino;

R_2 is alkyl of 1 to 8 carbon atoms, alkenyl of 2 to 8 carbon atoms or cycloalkyl of 3 to 8 carbon atoms;

R_3 is hydroxy, methoxy, oxo or acetoxy; and
 R^4 is hydrogen β -hydroxy or



where n is 0 or 1;

m is 1 or 2;

R_6 is hydroxy, amino, alkyl of 1 to 8 carbon atoms dialkyl of 1 to 8 carbon atoms or (alkyl of 1 to 8 carbon atoms) (alkanoyl of 1 to 8 carbon atoms)amino; and

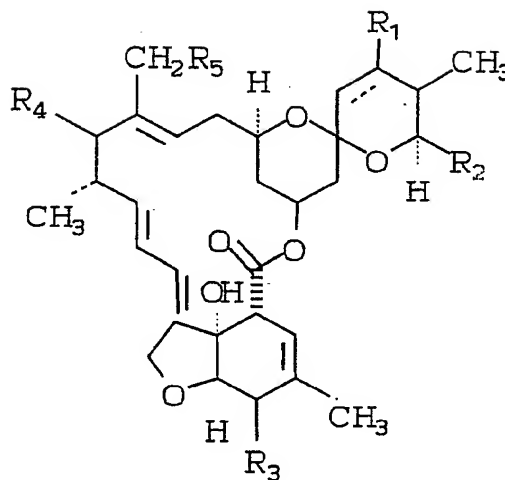
R_5 is hydrogen or hydroxy.

and wherein the fermentation of the microorganism is carried out in an aqueous medium of assimilable sources of carbon, nitrogen and inorganic salts and a compound having the formula:

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5

10



15 wherein R₁, R₂, R₃, R₄ and R₅ are as defined above.

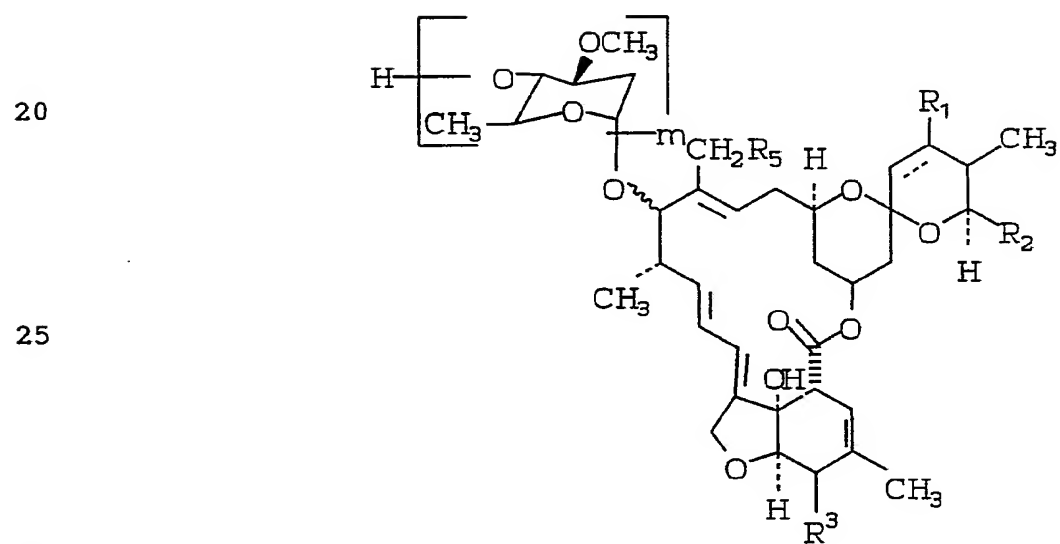
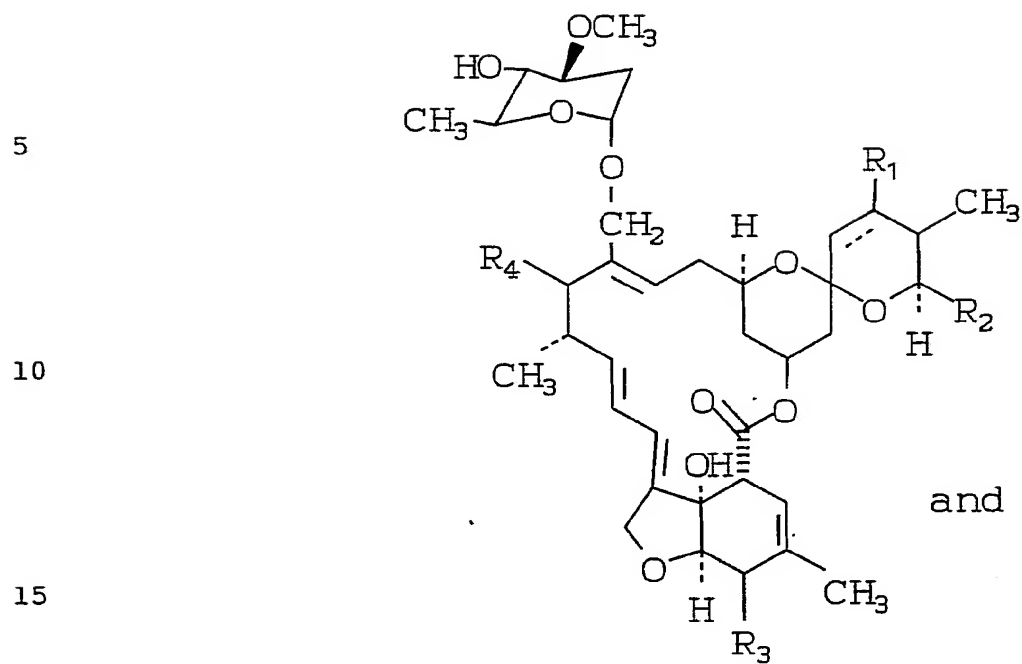
4. A fermentation medium containing assimilable sources of carbon, nitrogen and inorganic salts and a culture of Streptomyces avermitilis MA 6941.

20

5. A process for the preparation of compounds having the formula:

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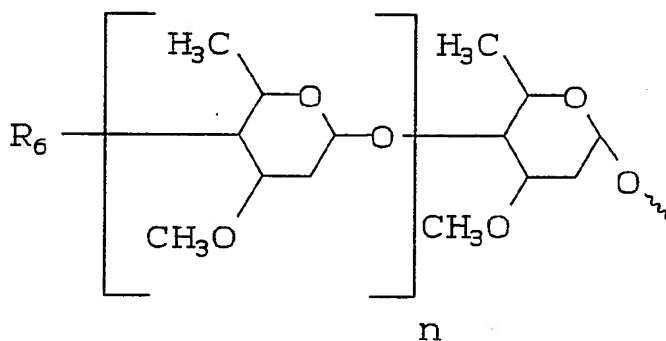
wherein:

R_1 is present only when the broken line represents a single bond at the 22,23-position and is hydrogen, hydroxy, or hydroximino;

R_2 is alkyl of 1 to 8 carbon atoms, alkenyl of 2 to 8 carbon atoms or cycloalkyl of 3 to 8 carbon atoms;

R_3 is hydroxy, methoxy, oxo or acetoxy; and

R_4 is hydrogen β -hydroxy or



where n is 0 or 1;

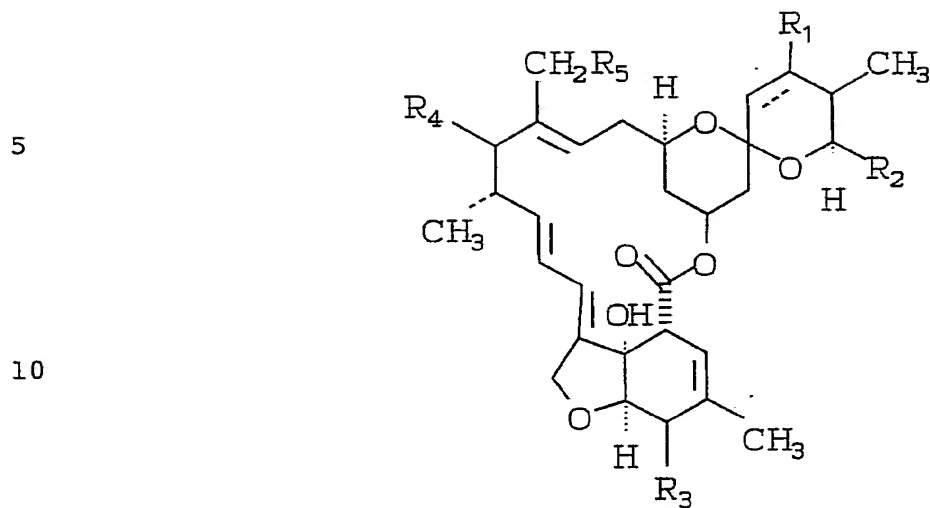
m is 1 or 2;

R_6 is hydroxy, amino, alkyl of 1 to 8 carbon atoms dialkyl of 1 to 8 carbon atoms or (alkyl of 1 to 8 carbon atoms) (alkanoyl of 1 to 8 carbon atoms)amino; and

R_5 is hydrogen or hydroxy.

and wherein the fermentation of the microorganism is carried out in an aqueous medium of assimilable sources of carbon, nitrogen and inorganic salts and a compound having the formula:

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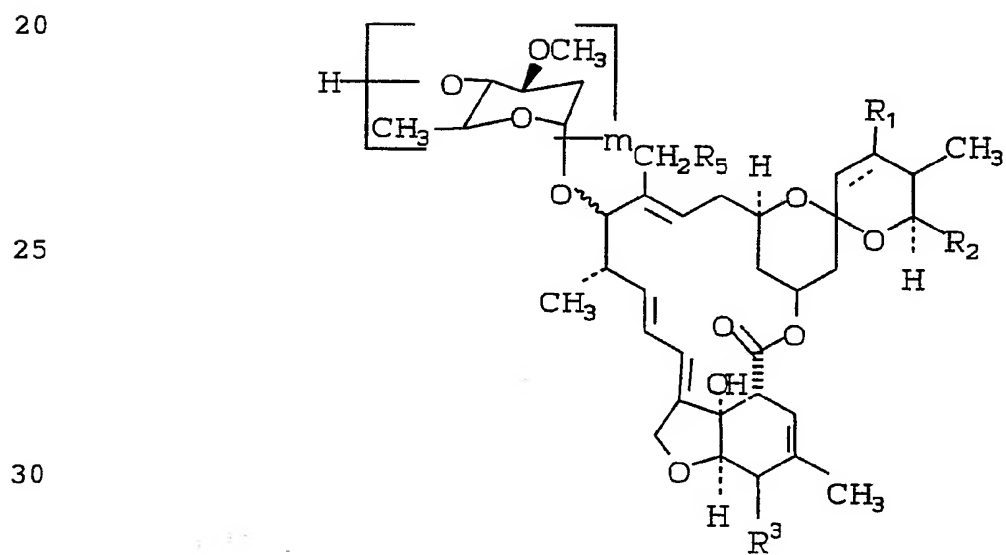
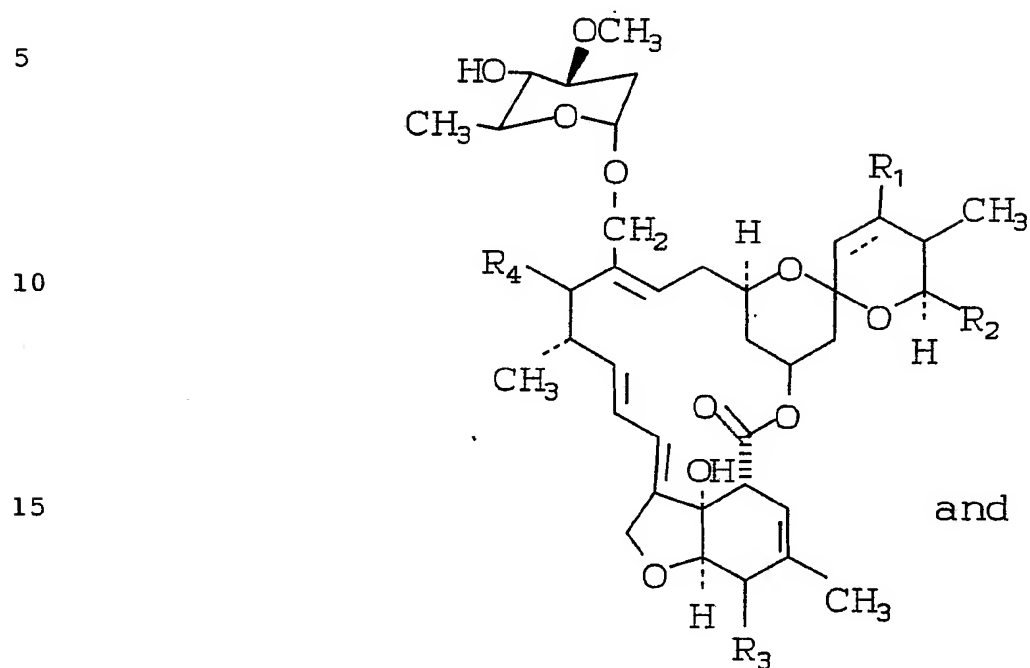
15 wherein R₁, R₂, R₃, R₄ and R₅ are as defined above.

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6. A compound having the formula:



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where the broken line at the 22,23-position indicates a single or a double bond at the 22,23-position;

5 R₁ is present only when the broken line represents a 22,23-position single bond and is hydrogen or hydroxy;

 R₂ is alkyl of 1 to 8 carbon atoms, alkenyl of 2 to 8 carbon atoms or cycloalkyl of 3 to 8 carbon atoms;

10 R₃ is hydroxy or methoxy; and
 R₄ is hydrogen or β-hydroxy.

7. A composition useful for the treatment of parasitic diseases of animals or parasitic infections of plants or plant crops which comprises
15 an inert carrier and an effective amount of a compound of Claim 8.

8. A method for the treatment of parasitic diseases of animals which comprises administering to
20 an animal an effective amount of a compound of Claim 8.

9. A method for the treatment of parasitic infections of plants or plant crops which comprises
25 administering to such plants, to the soil in which such plants grow or to the crops of such plants, an effective amount of a compound of Claim 8.

30

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US93/02366**A. CLASSIFICATION OF SUBJECT MATTER**IPC(5) :A61K 31/71; C07H 17/08; C12N 1/00; C12P 19/44, 19/62
US CL :435/74, 76, 886; 514/30; 536/7.1

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/74, 76, 886; 514/30; 536/7.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
APS**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|--|-----------------------|
| Y | US, A, 4,206,205 (Mrozik et al) 03 June 1980, col. 1, lines 25-68 and col. 2, lines 1-15. | 6-9 |
| X | US, A, 4,310,519 (Albers-Schonberg et al), 12 January 1982, col. 4, lines 26-43. | 1-5 |
| Y | US, A, 4,666,937 (Goegelman et al) 19 May 1987, col. 6, lines 1-57. | 6-9 |
| P, X | US, A, 5,188,944 (Omstead et al) 23 February 1993, col. 1, lines 53-68 and col. 2, lines 1-68. | 1-5 |
| P, X | US, A, 5,192,671 (Arison et al) 09 March 1993, col.13 and col. 14. | 6-9 |

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

| | |
|---|--|
| * Special categories of cited documents: | "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention |
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| "P" document published prior to the international filing date but later than the priority date claimed | |

Date of the actual completion of the international search

03 June 1993

Date of mailing of the international search report

14 JUN 1993

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Authorized officer

ELLI PESELEV

Facsimile No. NOT APPLICABLE

Telephone No. (703) 308-0196